

Spring 2018

## Neural Network Reconstruction via Graph Locality-Driven Machine Learning

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### Recommended Citation

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Neural Network Reconstruction via  
Graph Locality-Driven Machine  
Learning

Bard College

Hayden Sartoris

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## **Abstract**

A ubiquitous problem within the field of computational neuroscience is the determination of biological neural network structure and connectivity from imaging of stochastic, large-scale network activity. We propose an algorithm inspired by convolutional approaches to image processing, adapted to the graph structure of neural networks. To achieve this, we redefine locality in terms of graph adjacency, and create a scale-independent algorithm facilitated by modern machine learning techniques to incorporate this locality data into individual connection prediction.



# 1 Introduction

Artificial neural network-based solutions emerging in recent years have become a preeminent method for achieving accurate reconstructions of biological neural networks.[10] However, the methods used tend to not take advantage of features unique to biological neural networks that can assist in producing reconstructions. We present an architecture for determining network structure inspired by convolutional neural networks. Whereas in image processing, the typical use case for convolutional networks, pixel and feature adjacency correlates with shared meaning, there exists no such metric for data extracted from biological neural networks, as per-neuron spike trains can be reconfigured into various permutations without necessitating a change in the structure of the network that generated those spikes. Thus our architecture redefines adjacency to a version more suited to the unique features of biological neural networks, derived from locality within the original graph structure.

## 2 Background

### 2.1 Biological Neural Networks

Biological neural networks in the sense we will refer to them here are collections of neurons, the connections between which enable cognition. Neurons themselves consist of a cell body, from which emerge axons and dendrites. Axons extend from the neuron body to meet the dendrites emerging from another neuron, and this forms an electrochemical one-way connection.<sup>1</sup> Neurons may connect to and receive connections from many other neurons, and the axons can be so long as to render physical adjacency of neurons in a network irrelevant in terms of connection probability.[13]

#### 2.1.1 Neuron Behavior

Neurons generally sit at a resting voltage, but upon receiving a high enough total input level from incoming connections to exceed a particular threshold, they spike, rapidly increasing in voltage and then dropping again. [8] This voltage travels down the neuron's axons and in turn provides input to other neurons.

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<sup>1</sup>This is something of an oversimplification, but it will suffice for our purposes; see [11]

### 2.1.2 Extracting Data

Due to the three-dimensional nature of most brains, the sheer quantity of neurons, and their small size, manually mapping out a brain, and in particular the actual connections from neuron to neuron, is practically impossible. In order to monitor activity within a biological neural network, then, some compromises must be made. Several techniques exist for neuron monitoring; on the very small scale is the patch clamp technique, in which a pipette is directly attached to a single neuron[7]; on the larger scale is in-vivo calcium imaging, in which a dye is injected into a living brain, leading the neurons to fluoresce when spiking[14]. As calcium imaging allows observation of as many neurons as can be seen by a camera, we are interested in data that are derived from this process.

Although calcium uptake into neurons during spiking is relatively slow, making determination of precise spike time difficult, use of existing deconvolution algorithms can facilitate the creation of spike-time raster plots[15]. These plots contain a binary representation of neuron spiking: at each timestep, each neuron is either spiking, or not. In Figure 2.1, each column corresponds with one neuron, and each row represents a timestep; a filled block indicates a spike, and an unfilled block indicates no spike.

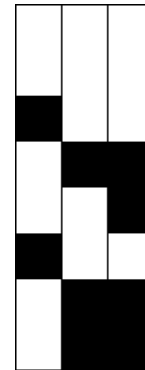


Figure 2.1: Spike time raster plot

## 2.2 Graphs

In general, we define a graph as a collection of nodes and edges, where nodes represent states or components of a system, and edges represent the connec-

tions between those nodes[3]. An example graph can be found in Figure 2.2.

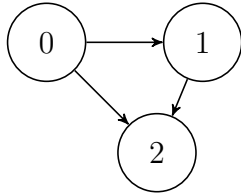


Figure 2.2: Digraph

Graphs can be used to describe many systems; for example, social groups can be represented in graph form, where people are nodes and friendships are edges. In such a case, the edges in the graph are bidirectional (one hopes). In describing other systems, however, edges are often unidirectional. Such a graph is called a directed graph, or digraph.[3] The graphs we consider here will be digraphs in that a biological neural network can be thought of as a directed graph. As described in 2.1, physical adjacency of individual neurons does not necessarily play a role in the likelihood of a connection existing. This makes graphs an ideal representation for biological neural networks: placement of nodes when visualizing a graph is purely arbitrary, with only the nodes and their connections being important. Thus we will consider biological neural networks through a graph representation, wherein the nodes are neurons and the edges are axons.

### 2.2.1 Graph Structures in Biological Neural Networks

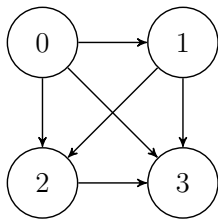


Figure 2.3: 3-simplex

Graph analysis of naturally-occurring networks, including neural networks, reveals the consistent repetition throughout of small patterns, known as motifs, and suggests that network robustness towards perturbation is in part due to the presence of these underlying structures, which do not occur at comparable rates in random graphs.[5, 9] Figure 2.2 is an example of a directed simplex, a type of motif in which each node is unidirectionally connected to

every other node, with one node, termed the source, only possessing outgoing connections, and another, termed the sink, only receiving incoming connections. In Figure 2.3, node 0 is the source, and node 3 is the sink.

Since these simplices and other motifs appear in biological neural networks with unusual regularity[11], we may be able to take advantage of these local properties in reconstruction.

## 2.3 Artificial Neural Networks

Artificial neural networks, as the name implies, are computational networks, usually intended for processing data, inspired by the structure of biological networks. They are typically composed of one or more layers, where a layer is a set of units that take inputs, either from a previous layer or input data directly, and provide output based thereupon.

### 2.3.1 Feedforward Network Operation

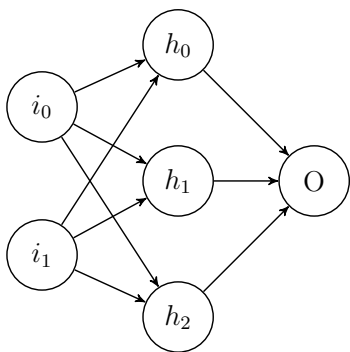


Figure 2.4: Simple ANN

We will concern ourselves primarily with feedforward networks: those in which values move exclusively forward through the layers. Consider the network in Figure 2.4. It takes two input values,  $i_0$  and  $i_1$ , which constitute its input layer. These inputs are mapped to units  $h_{0-2}$ , which together make up the intermediary layer of this network, often referred to as a ‘hidden’ layer. This transition of values is handled by a weight,  $w_{ij}$ , associated with each connection  $i_i \rightarrow h_j$ . We can consider all of these weights together as a matrix, and the

entire transition as such:

$$\begin{bmatrix} h_0 \\ h_1 \\ h_2 \end{bmatrix} = \begin{bmatrix} w_{00} & w_{01} \\ w_{10} & w_{11} \\ w_{20} & w_{21} \end{bmatrix} \times \begin{bmatrix} i_0 \\ i_1 \end{bmatrix} \tag{2.1}$$

Some activation function  $f$  is generally applied to the resultant values before storing them or calculating the next layer, and in that case we can describe the entire transition as  $\forall j \in (0, 2); h_j = f(w_{j0}i_0 + w_{j1}i_1)$ . There are a variety of viable activation functions depending on the type of data being processed, and they are an important part of how effective ANNs are. For example, a network with only two layers but a nonlinear activation function can be trained as an arbitrary function approximator.[1]

## Training

The process of optimizing the values in the layer transition matrices is known as training, and is often performed by gradient descent via backpropagation[12]. See 4.2 for more information on this.

### 2.3.2 Convolutional Neural Networks

Convolutional neural networks provide a method for analyzing data comprising many similar features. CNNs as we know them today were popularized by LeCun et al. in 1998, in a seminal paper[4] demonstrating the use of CNNs for text recognition in images. They recognized the problem inherent in using an artificial network scaled to the size of the input (one in which the number of input layer units is comparable to the pixels, for instance) as such:

... the main deficiency of unstructured nets for image or speech applications is that they have no built-in invariance with respect

to translations, or local distortions of the inputs . . . learning such a task would probably result in multiple units with similar weight patterns positioned at various locations in the input so as to detect distinctive features wherever they appear in the input.[4, p. 5]

This, in a nutshell, describes the utility of convolutional neural networks: for data containing multiple features of the same type, such as characters in a sentence, training a model that simultaneously considers all parts of the input is unnecessary; instead, train a *local receptive field*, or filter, capable of recognizing that type of feature, and step it across the input.

The benefits of this approach are enormous. Consider text processing: an ANN trained, for example, to digitize books by processing an entire page at a time would require, at the least, a first layer of similar dimensions to the size of a page in pixels. By contrast, a filter just large enough to process a character contains many times fewer values, and hence a much lower memory and processing load; also recall that having fewer values to optimize renders the training process faster and more effective.

## 2.4 Graph Adjacency

We established in 2.2.1 that biological neural networks contain high levels of local structure, and in 2.3.2 that a convolutional architecture, consisting of filters that evaluate small chunks of data for particular features, is ideally suited to analyzing such data.

Before making the jump to applying a convolutional architecture to our problem, though, we must confront one of the reasons that convolutional filters are effective: adjacency. In the case of image analysis, the fact that one pixel

or group of pixels is next to another is itself important data, as it implies a relationship of some nature between those elements. In our problem, there is no such data available; local structure in a graph is analagous to adjacency in an image, but it is specifically that structure data that we are trying to derive. In the second layer of our model, defined in 3.2.2, we offer one solution to this dilemma.

Fortunately, we can apply at least one aspect of a convolutional architecture in each layer: while some transforms are defined in terms of the size of the input data, all calculations are performed via transposition of a filter across the input dataset.

## 2.5 General Operations & Notation

Before diving into the specifics of data production, model architecture, and training, it's important to establish a firm understanding of the operations that will be involved in Chapter 3.

### 2.5.1 Matrix Operations

Most of the layers in our architecture can be understood with a basic working knowledge of matrix math, but some operations may be unfamiliar; we will also clarify some notation choices.

**Concatenation** We will periodically need to concatenate matrices on the vertical axis, that is, stack them on top of each other; this is the vertical equivalent of matrix augmentation. We denote this operation with a horizontal



bar between the matrices or vectors in question. Example:

$$\mathbb{A} = \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \end{bmatrix} \quad \mathbb{B} = \begin{bmatrix} 7 & 8 & 9 \end{bmatrix} \quad \frac{\mathbb{A}}{\mathbb{B}} = \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{bmatrix}$$

Note that the second dimension of both matrices must be the same; the first, as in this example, need not. However, every concatenation in our model involves matrices of equal dimensions.

**Entrywise Product** Also known as the Hadamard or Schur product, we denote the entrywise product as such:

$$\mathbb{C} = \mathbb{A} \odot \mathbb{B} \Rightarrow \{c_{ij}\} = \{a_{ij} \times b_{ij}\} \quad (2.2)$$

## 2.5.2 Adjacency Matrices

The representation of neural network connectivity that we will focus on is the adjacency matrix. For  $n$  neurons, an adjacency matrix  $\mathbb{M}$  will be of dimensions  $(n \times n)$ . A simplistic method of predicting network activity at the next discrete timestep, and one that we will use to produce our data, is to multiply this matrix by an  $n$ -vector representing current activity at each neuron. Such an operation appears as follows for  $n = 3$ :

$$\mathbb{S}_{t+1} = \mathbb{M} \times \mathbb{S}_t = \begin{bmatrix} a & b & c \\ d & e & f \\ g & h & i \end{bmatrix} \times \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} ax + by + cz \\ dx + ey + fz \\ gx + hy + iz \end{bmatrix} \quad (2.3)$$

Thus the activity for a given neuron is defined entirely in terms of network activity at the previous timestep and the weights in the adjacency matrix in the row corresponding to that neuron. We thereby arrive at a simple expression of the mechanics of adjacency matrices:

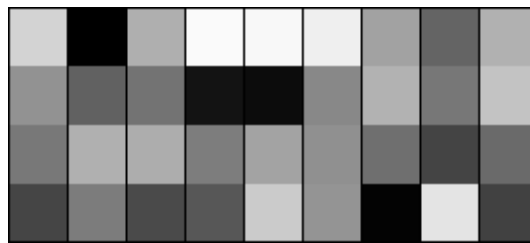
1. Weights in some row  $i$  define inputs to neuron  $i$
2. Weights in some column  $j$  define outputs from neuron  $j$
3. The weight at  $M_{ij}$  defines the connection from neuron  $j$  to neuron  $i$ .

Keeping this inverse relationship in mind will help prevent confusion in later chapters.

### 2.5.3 Matrix Visualization

For most data produced by our trained model, be it an output or a weight matrix, we will use the following method of visualization as demonstrated in Figure 2.5. Color depth is obtained via  $C_{ij} = 255 \times \frac{M_{ij}}{\max(\mathbb{M})}$ .

$$\begin{bmatrix} 0.6949 & 3.9742 & 1.2562 & 0.0910 & 0.1149 & 0.2512 & 1.4527 & 2.4163 & 1.2178 \\ 1.7070 & 2.4687 & 2.1925 & 3.6878 & 3.7935 & 1.8565 & 1.2150 & 2.1221 & 0.9360 \\ 2.1112 & 1.2398 & 1.2909 & 2.0331 & 1.4475 & 1.7356 & 2.2461 & 2.9234 & 2.3341 \\ 2.9004 & 2.0559 & 2.8357 & 2.6226 & 0.8173 & 1.6788 & 3.9330 & 0.4249 & 2.9650 \end{bmatrix}$$



(a) max: 3.97

Figure 2.5: The same matrix, in numerical and visual forms

## 3 Model

### 3.1 Data

Training a network requires inputs representing the known data about the system we wish to model, as well as output data we wish the network to produce from the inputs. More generally, input data usually entails information that is easy to acquire about the process being modeled, while output data, which we treat as our targets, correspond to a dataset that is difficult to acquire generally. Of course, this means that the first step in training a neural network is to assemble a sufficiently large set of inputs and outputs in order to characterize the problem at hand.

We wish to map from relatively easily available data about biological networks, individual neuron spike times, to network structure, which is often unknown. While such data exist, generating our own allows us to better analyze the results of the algorithm.

#### 3.1.1 Generation

In order to demonstrate the validity of our algorithm for graph convolution, we opt for a simplified form of the kind of data that would be used in a real-world setting. To this end, we create adjacency matrices representing simple,

small- $n$  toy networks.

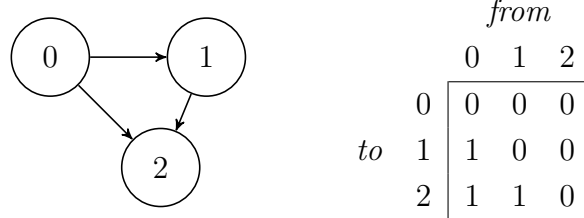


Figure 3.1: Example of 3-neuron network and adjacency matrix.

Binary values are used throughout these toy networks: either a connection exists or it doesn't; either a 'neuron' is spiking or it isn't. To produce spiking data, we create an  $n$ -vector  $\mathbb{S}$  representing the current state of the toy network, with random neurons already spiking based on a chosen spike rate. From here, the process is as in 2.5.2, where  $\mathbb{M}$  is the adjacency matrix:

$$\underset{n \times 1}{\mathbb{S}^{t+1}} = \underset{n \times n}{\mathbb{M}} \times \underset{n \times 1}{\mathbb{S}^t} \quad (3.1)$$

Additionally,  $\mathbb{S}^{t+1}$  may have one or more neurons spike randomly, as determined by the spike rate of the simulation.<sup>1</sup> Because nodes can receive inputs from multiple other nodes, as well as random activity, the vector,  $\mathbb{S}^{t+1}$ , produced in (3.1), may contain values greater than one. Therefore, after each step, all values are clipped to the range  $(0, 1)$ . After this clipping,  $\mathbb{S}$  is appended to an output matrix, which is saved after simulation is complete. For  $t$  simulation steps, the completed output has shape  $(n \times t)$ .

Generally, we ran simulations as described for 50 steps<sup>2</sup>, then saved the resulting output matrix. As many as fifty thousand simulations were run for each generator network. As well as saving the simulated spike trains, we save

---

<sup>1</sup>SEE APPENDIX

<sup>2</sup>See 3.1.2

the adjacency matrix describing the generator, in order to provide a target for the model to train on.

### Example Data Generation

Consider the network defined in Figure 3.1. Supposing that we randomly spike neuron 0 at the first step, our initial state appears as such, where  $\mathbb{O}$  is the output matrix and  $\mathbb{R}^0$  is an  $n$ -vector wherein each element has been randomly assigned 0 or 1, based on the spike rate of the simulation:

$$\mathbb{M} = \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 1 & 1 & 0 \end{bmatrix} \quad \mathbb{S}^0 = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \quad \mathbb{O} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \quad \mathbb{R}^0 = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$$

We now compute  $\mathbb{S}^1$  as above:

$$\mathbb{S}^1 = (\mathbb{M} \times \mathbb{S}^0) + \mathbb{R}^0 = \left( \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 1 & 1 & 0 \end{bmatrix} \times \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \right) + \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \quad (3.2)$$

In this case, neuron 1 spiked randomly ( $\mathbb{R}^0[1] = 1$ ), but was also caused to spike by virtue of its connection from 0; this would result in a value greater than one. As discussed previously, we clip the values in  $\mathbb{S}^1$  to a maximum of 1, in order to prevent cases such as this one from causing spikes of greater magnitude to propagate through the network. Thus we have our final value for  $\mathbb{S}^1$ , and append it to  $\mathbb{O}$ .

$$\mathbb{S}^1 = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} \quad \mathbb{O} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 1 \end{bmatrix}$$

If we were to repeat this process several more times, we might end up with an output matrix such as in Figure 3.1.1.

$$\mathbb{O} = [\mathbb{S}^0 \mid \mathbb{S}^1 \mid \mathbb{S}^2 \mid \mathbb{S}^3 \mid \mathbb{S}^4] = \begin{bmatrix} 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 1 \end{bmatrix}$$

*Figure 3.2:* Example output matrix for a 3-neuron network simulated for five steps.

Practically, the number of iterations was usually set to 50; this provided more than enough data to converge, particularly for small  $n$ .

### 3.1.2 Restructuring

#### Input Data

The model accepts data in the form of a spike-time raster plot of dimensions  $(n \times t)$ , where  $n$  is the number of neurons and  $t$  is the number of timesteps being considered. The axes are reversed in comparison to the data created by the generator, and thus in the process of loading in the spike trains we transpose the matrices to the expected dimensionality. Additionally, it is not always necessary to use the full number of steps generated, depending on the size of the generator network in question, as well as its spike rate. In such a scenario, we truncate the time dimension appropriately.

For a network accepting  $t$  timesteps of data from  $n$  neurons, the data fed into the network takes the general form found in Figure 3.3a. Applying this process to the data in Figure 3.1.1, including truncating the time dimension to four, produces the data in Figure 3.3b.

$$\begin{bmatrix} x_{11} & x_{12} & \dots & x_{1n} \\ x_{21} & x_{22} & \dots & x_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ x_{t1} & x_{t2} & \dots & x_{tn} \end{bmatrix} \qquad \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix}$$

(a) Generalized shape of restructured data

(b) Output from Figure 3.1.1, transposed and truncated

*Figure 3.3: Input data dimensionality*

## Target Data

As described in 3.1.1, we save the adjacency matrix corresponding to the generator along with the simulated spiking files. When an adjacency matrix is loaded into the target dataset for training a model, we flatten it, from  $(n \times n)$  to  $(1 \times n^2)$ . This allows us to directly compare our targets to the outputs of the model, which will be of the same dimensionality.

### 3.1.3 Generalizability

In most ANN implementations, inputting various data with the same target attached to it results in the network learning to ignore the input data and always return the desired target, rendering it useless. However, due to the unique structure of our model, this sort of overfitting is impossible.<sup>3</sup> Therefore, we must merely construct a suitably representative generator network, meaning that it contains all of the inter-neuron relationships we expect to see in the data we ultimately use to test it.

---

<sup>3</sup>See 3.2.5

## 3.2 Architecture

We will first describe the architecture in terms that, while accurate on the macroscopic level, do not fully reflect the actual transformations occurring in the implemented model. We will then proceed to a mathematically representative version, leaving explanation of the batched version of the model to A.1. Additionally, we describe a benchmark model not involving locality calculations, in order to provide a point of reference for the efficacy of our implementation.

### 3.2.1 Structure & Computation Details

#### Dimensionality-defining Variables

Only two parameters characterize the matrices and transitions involved in the model, the effective values for which we determined through experimentation:

*b*: The number of steps of input data the model considers in a given segment of data.

*d*: The length of the vectors characterizing each potential connection  $ij$ . This restricts the maximum information about each potential neuron pair that the model can maintain across layer transitions.

While we use the number of nodes in the generator graph,  $n$ , to calculate summations and averages, the structure of our calculations is such that no aspects of the model are defined in terms of  $n$ .



## Omitted Details

An elementwise activation function<sup>4</sup> is applied to the matrix outputs from each layer. While this is crucial to network function, our primary focus in this section is the underlying principles and mathematical expressions thereof, and activation is somewhat trivial in comparison. For details on the activation functions used, see 4.1.

### 3.2.2 Conceptual Model

The operations we describe here represent a per-edge approach to our architecture; i.e., the layer transitions are defined in terms of calculations applied to single pairs of nodes, as opposed to the whole-matrix operations that the architecture as implemented relies on.

#### First Transition

To generate the first layer of the network, we inspect every pair of neurons in the input data. Since no pair of neurons is distinguishable from another, the comparison applied is the same in all cases: we apply the same convolutional filter to all pairs. We achieve this by concatenating the spike train of each neuron  $i$  individually with every other neuron  $j$ , then multiplying by a matrix  $\mathbb{W}$  of dimensionality  $(d \times 2b)$ . To this product we add a bias vector,  $\mathbb{B}$ , of dimensionality  $(d \times 1)$ .

The transition appears as follows, where  $\mathbb{I}_x$  is the input column at  $x$ :

$$\forall i, j \mid 0 \leq i, j < n : d'_{ij} = \underset{d \times 1}{\mathbb{W}} \times \underset{d \times 2b}{\left( \begin{array}{c} \mathbb{I}_i \\ \mathbb{I}_j \end{array} \right)} + \underset{d \times 1}{\mathbb{B}}$$

This leaves us with  $n^2$   $d$ -vectors, each characterizing one potential edge  $ij$ .

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<sup>4</sup>See 4.1

## Locality Layer

In this layer, we incorporate information from all nodes potentially adjacent to each edge  $ij$ . From our previous layer, we have a matrix of shape  $(d \times n^2)$  that we will refer to as  $\mathbb{D}'$ , but it will be useful to keep in mind an alternate representation of that matrix, one in three dimensions, which we shall refer to as  $\mathbb{D}'_N$ . This transformation is demonstrated in Figure 3.4.

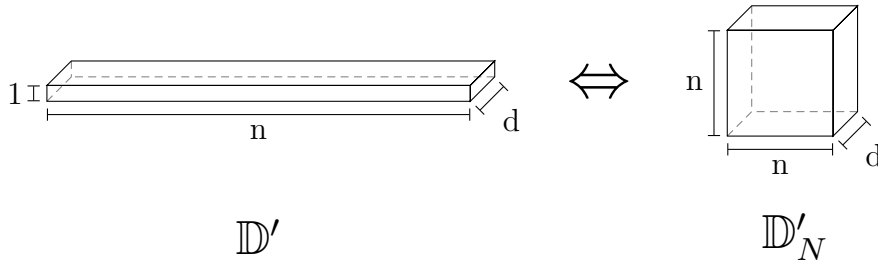


Figure 3.4: Relationship between  $\mathbb{D}'$  and  $\mathbb{D}'_N$ .

Consider some  $d'_{ij}$  in  $\mathbb{D}'_N$ . Then we can say the following:

1.  $d'_{ij}$  is a  $d$ -vector representing the connection from  $j$  to  $i$  as it may or may not exist in this network
2.  $\forall k \mid 0 \leq k < n, d'_{jk}$  represents a potential input to  $j$
3.  $\forall k \mid 0 \leq k < n, d'_{ki}$  represents a potential output from  $i$

In our determination of the presence or absence of a connection from  $j$  to  $i$ , we wish to incorporate information from these potentially connected nodes; that is, these inputs and outputs represent potential neighbors in terms of graph locality. To achieve this, we perform the following computations for each  $d'_{ij}$ :

$$\mathbb{I}_{d \times 1} = \frac{1}{n} \sum_{k=0}^{n-1} d'_{jk} \quad \mathbb{O}_{d \times 1} = \frac{1}{n} \sum_{k=0}^{n-1} d'_{ki} \quad (3.3a)$$

$$\mathbb{I}_{\mathbb{D}} = \mathbb{W}'_{in} \times (\mathbb{I} \odot d'_{ij}) \quad \mathbb{O}_{\mathbb{D}} = \mathbb{W}'_{out} \times (\mathbb{O} \odot d'_{ij}) \quad (3.3b)$$

Here we arrive at the output,  $d''_{ij}$ :

$$d''_{ij} = \mathbb{W}'_{tot} \times \left( \frac{\mathbb{I}_{\mathbb{D}}}{\mathbb{O}_{\mathbb{D}}} \right) + \mathbb{B}'_{d \times 1} \quad (3.3c)$$

Conceptually, in **(3.3a)** we first average all potential inputs to and outputs from potential edge  $ij$ . Then, we compute an entrywise product ( $\odot$ ) of these vectors with the vector describing the edge in question,  $d'_{ij}$ . While we have integrated locality data into the results thus far, the network has not been allowed any processing over the resultant data, which we rectify by multiplying the input and output vectors with separate dimensionality-preserving ( $d \times d$ ) matrices. We thus arrive at **(3.3b)**, with vectors  $\mathbb{I}_{\mathbb{D}}$  and  $\mathbb{O}_{\mathbb{D}}$  representing edge  $ij$  with inputs and outputs, respectively, taken into consideration. In **(3.3c)**, we arrive at  $d''_{ij}$  by multiplying a third weight matrix by the vertical concatenation of  $\mathbb{I}_{\mathbb{D}}$  and  $\mathbb{O}_{\mathbb{D}}$ . This matrix,  $\mathbb{W}'_{tot}$ , allows the network to optimize for whichever elements in  $\mathbb{I}_{\mathbb{D}}$  and  $\mathbb{O}_{\mathbb{D}}$  are most important in the prediction of  $ij$ . Additionally, a bias vector,  $\mathbb{B}'$ , is added to this product, and at this point we have  $d''_{ij}$  as it will be seen by the next layer of the network.<sup>5</sup>

Our concatenation approach in **(3.3c)** stands in contrast to the strategy taken in **(3.3b)**, where integration of the input and output data is forced via entrywise product computation. For discussion of this attribute, see 4.3.2.

Note again that none of the computations involved in this layer are dependent on  $n$ ; as the summations are averaged, the values contained in their

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<sup>5</sup>Disregarding the activation function

resultant vectors will be of similar magnitude for any number of neurons under consideration. After executing this algorithm for each  $d'_{ij}$ , we are left with another  $(d \times n^2)$  output matrix,  $\mathbb{D}''$ .

### Final Transition

The shift from  $(d \times n^2)$  is comparatively simple, being only a dimensionality reduction:

$$\forall d''_{ij} \in \mathbb{D}'' : d''_{ij} = \underset{1 \times 1}{\mathbb{W}^f} \times \underset{d \times 1}{\underset{1 \times d}{d'_{ij}}} \tag{3.4}$$

This leaves us with a  $(1 \times n^2)$  matrix, which, following application of an activation function as defined in 4.1.2 and transposition to  $(n \times n)$ , we treat as the adjacency matrix of the generator associated with the input data.

### 3.2.3 Matrix Model

While the processes defined in 3.2.2 are accurate representations of the operations undertaken in our model, they are generally defined in terms of individual vectors, with iteration over all vectors necessarily implied. This does not take advantage of the computational abilities of modern GPU computing, and, if implemented as such, would render training times astronomical. Therefore, we create a version of our model executed entirely in terms of matrix operations, ideal for GPU execution.

#### First Layer

In the first layer, we wish to compare each input vector against every input vector by way of concatenation and matrix multiplication to reduce dimensionality. To achieve this via matrix operations is fairly simple. We first define

two helper matrices:

$$\mathbb{E}_{n \times n^2} = \begin{bmatrix} \mathbb{1}_{1 \times n} & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & \mathbb{1}_{1 \times n} \end{bmatrix}$$

$$\mathbb{T}_{n \times n^2} = \left[ I_n \mid \dots \mid I_n \right]$$

With  $\mathbb{I}_{b \times n}$  as our input data, the first layer transition is as follows:

$$\mathbb{D}'_{d \times n^2} = \mathbb{W}_{d \times 2b} \left( \frac{\mathbb{I} \times \mathbb{E}}{\mathbb{I} \times \mathbb{T}} \right) + \left( \mathbb{B}_{d \times 1} \times \mathbb{1}_{1 \times n^2} \right) \quad (3.5)$$

**Example:** Consider a model for which  $b = 3$  and  $n = 2$ . Suppose that we have the following input matrix:

$$\mathbb{I} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \\ 0 & 1 \end{bmatrix}$$

Then our helper matrices would appear as such:

$$\mathbb{E} = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix} \quad \mathbb{T} = \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{bmatrix}$$

And our matrix stack:

$$\mathbb{I} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \\ 0 & 1 \end{bmatrix} \quad \frac{\mathbb{I} \times \mathbb{E}}{\mathbb{I} \times \mathbb{T}} = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{bmatrix}$$

Thus, over all of the columns in the resulting stack, every vector in  $\mathbb{I}$  is paired with all such vectors, including itself.

## Locality Layer

In the conceptual model, there are two averages of sums involved in processing each vector in  $\mathbb{D}'$ ; one over the horizontal axis of  $\mathbb{D}'_N$ , and the other over the vertical axis. These can be found in **(3.3a)**. Consider two vectors  $d_{ij}, d_{il} \in \mathbb{D}'_N$ . For both of these vectors, the average input vector is the same, its calculation being only dependent on the first coordinate,  $i$ . The inverse holds for vectors with the same second coordinate. Thus we see that these calculations need only be performed once for each  $k \in [0, n)$ . Considering the  $(d \times n^2)$  representation of the current data matrix  $\mathbb{D}'$ , the ‘vertical’ summation of column  $i$  appears as such:

$$\mathbb{O} = \sum_k d'_{ki} = \mathbb{D}'_{0+i} + \mathbb{D}'_n + \mathbb{D}'_{2n+i} + \cdots + \mathbb{D}'_{(n-1)n+i}$$

This is the inverse of the tile operation executed by  $\mathbb{T}$  in the first layer, and that same matrix allows us to compute all outputs to all edges simultaneously:

$$\mathbb{O}_{d \times n} = \frac{1}{n} (\mathbb{D}' \times \mathbb{T}^\top) \quad (3.6)$$

Similarly, to calculate the sum of row  $j$  in  $\mathbb{D}'_N$ :

$$\mathbb{I} = \sum_k d'_{jk} = \sum_{l=j}^{j+n-1} \mathbb{D}'_l$$

This is the inverse of the expand operation executed by  $\mathbb{E}$ , and once again we can use that same matrix to compute all edge inputs simultaneously:

$$\mathbb{I}_{d \times n} = \frac{1}{n} (\mathbb{D}' \times \mathbb{E}^\top) \quad (3.7)$$

These operations allow us to avoid ever transposing  $\mathbb{D}'$ , instead allowing us to work directly on it.

For both  $\mathbb{I}$  and  $\mathbb{O}$ , we still need to pair the vectors within with the appropriate vector in  $\mathbb{D}'$ . To accomplish this, we must expand both matrices to  $(d \times n^2)$ .

For some vector  $\mathbb{I}_x$ , we wish to pair it with all vectors  $d_{kx} \in \mathbb{D}'_N \mid k \in [0, n)$ . In terms of  $\mathbb{D}'$ , these vectors map to  $\mathbb{D}'_{kn+x}$ ; i.e., we wish to create a matrix into which we distribute a given vector in  $\mathbb{I}$   $n$  times,  $n$  columns apart. Once again, we already have a matrix specifically capable of this operation:  $\mathbb{T}$ . Similarly, we wish to pair any given vector  $\mathbb{O}_x$  with all vectors  $d_{xk} \in \mathbb{D}'_N \mid k \in [0, n)$ , which correspond with  $\mathbb{D}'_{xn+k}$ : for each vector in  $\mathbb{O}$ , we broadcast it into a  $(d \times n^2)$  matrix such that it repeats  $n$  times. Yet again, an established matrix will complete this task:  $\mathbb{E}$ . Thus our intermediary steps for this layer are quite similar to **(3.3b)**:

$$\mathbb{I}_{\mathbb{D}} = \mathbb{W}'_{in} \times ((\mathbb{I} \times \mathbb{T}) \odot \mathbb{D}') \quad \mathbb{O}_{\mathbb{D}} = \mathbb{W}'_{out} \times ((\mathbb{O} \times \mathbb{E}) \odot \mathbb{D}') \quad (3.8a)$$

And we arrive at the matrix expression of the locality layer:

$$\mathbb{D}'' = \mathbb{W}'_{tot} \times \left( \frac{\mathbb{I}_{\mathbb{D}}}{\mathbb{O}_{\mathbb{D}}} \right) + \left( \mathbb{B}' \times \mathbb{1}_{1 \times n^2} \right) \quad (3.8b)$$

### Final Layer

The operation for the matrix version of the final layer is effectively the same as **(3.4)**:

$$\mathbb{D}^f = \mathbb{W}^f \times \mathbb{D}'' \quad (3.9)$$

### 3.2.4 Benchmark Model

The model we provide as a benchmark mimics our model in its first **(3.5)** and final **(3.9)** layers. The difference lies in the second layer: where in **(3.8)** we perform a variety of transforms to incorporate locality data, here this layer is entirely defined by the following equation:

$$\mathbb{D}'' = \mathbb{W}' \times \mathbb{D}' + \mathbb{B}' \times \mathbb{1}_{1 \times n^2} \quad (3.10)$$

### 3.2.5 $n$ -independence

#### Trainable Values

Between all of the operations defined in 3.2.3 (and equivalently in 3.2.2), the following matrices are the only values that are optimized by the learning algorithm:

#### First Layer

$\mathbb{W}$ : weight matrix used to merge columns of input data  
 $d \times 2b$

$\mathbb{B}$ : bias vector added to every  $\mathbb{D}'_k \mid k \in [0, n)$ .  
 $d \times 1$

#### Locality Layer

$\mathbb{W}'_{in}$ : weight matrix used to process data entering an edge  
 $d \times d$

$\mathbb{W}'_{out}$ : weight matrix used to process data exiting an edge  
 $d \times d$

$\mathbb{W}'_{tot}$ : weight matrix used to merge the data produced by  $\mathbb{W}'_{out}$  and  $\mathbb{W}'_{in}$   
 $d \times 2d$   $d \times d$   $d \times d$

$\mathbb{B}'$ : bias vector added to every  $\mathbb{D}''_k \mid k \in [0, n)$ .  
 $d \times 1$

#### Final Layer

$\mathbb{W}^f$ : weight matrix used to collapse all  $n^2$  vectors into  $n^2$  scalars.  
 $1 \times d$

**Benchmark Model** Our benchmark model shares first and final layer structures with the overall model, leading to its having the same optimizable parameters for those layers. Its second layer retains the bias vector  $\mathbb{B}'$ , but that and a single  $(d \times d)$  matrix  $\mathbb{W}'$  are the only optimizable values.



## Implications

As noted previously, none of these matrices are dependent on  $n$ . Furthermore, even in the matrix model (3.2.3), the weight matrices operate individually on each  $ij$  vector, and the same bias is added to each vector. Because the network is not provided any trainable matrices with dimensionality even partly defined by  $n$ , all calculation and training is done per node pair. This obviates the typical neural network problem of overfitting to its training dataset to the point it simply memorizes appropriate outputs.<sup>6</sup> Additionally, this allows for application of a trained model to data produced by generators of a different size than those used to train the model. Because our model operates entirely on local graph features, the only requirement for such an application is that the training data contain a set of features also representative of the new data.

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<sup>6</sup>See 5.1

# 4 Training

## 4.1 Activation Functions

### 4.1.1 Initial & Convolutional Layers

At the end of each transition, an elementwise activation function is applied following completion of all computations, including multiplication by the relevant weight matrix. For all but the final layer, that function is ReLU[6], defined in figure 4.1.

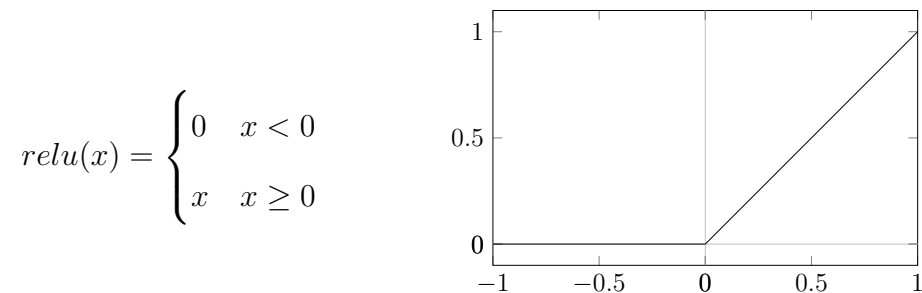


Figure 4.1: ReLU function definition and graph

### 4.1.2 Final Layer

ReLU's preservation of positive values and elimination of negative values work in concert with the activation function of the final layer, hyperbolic tangent

(Figure 4.2). The clipping of negative values to 0 in previous layers of the network allows greater imprecision in the penultimate layer in order to predict a 0 in the output adjacency matrix: rather than needing to fine tune the filters to produce exactly 0 for nonexistent connections, the model need only drive the values for such neuron pairs into the negatives, and let the application of ReLU correct.

Similarly, the final layer *tanh* allows the network to drive weights for probable connections far into the positives, with the activation function ultimately mapping large values into a small range closely approaching 1.

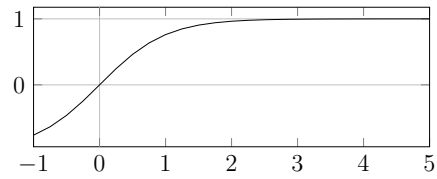


Figure 4.2: Graph of  $y = \tanh(x)$

## 4.2 Loss & Optimization

In a nutshell, backpropagation via gradient descent is a method for training neural networks by calculating the extent to which each value in a particular layer is responsible for the overall network error on a single data point or batch, then correcting that value by an amount commensurate to its error and overall learning rate. This process operates from the final layer back to the first, hence ‘backpropagation’.[12]

In order to effectively descend the gradient, a network needs a function defining error from the desired output and an algorithm for applying gradient descent based on that error and a specified learning rate.

The loss function must provide useful values to the optimizer in order to allow effective gradient descent towards the goal, and the optimizer must adjust the network fast enough to converge to the target while avoiding converging to

a suboptimal solution. As the network gets closer to an optimal state, adjusting at the same rate as at the start of training will almost invariably overshoot the desired configuration. Due to this, the optimizer must dynamically modify the extent to which it adjusts the network as training goes on.

### 4.2.1 Loss Function

We define a basic custom loss function in order to better fit the outputs we expect to see.

For final model output  $\mathbb{O}$  and target  $\mathbb{T}$ , we take the sum squared difference,  $S$ , of the two vectors and the sum over  $\mathbb{T}$ ,  $S_T$ , (4.1a), and divide these two values to achieve loss  $L$ .<sup>1</sup>

$$S = \sum_i (\mathbb{O}_i - \mathbb{T}_i)^2 = \sum_i [(\mathbb{O} - \mathbb{T})_i]^2 \quad S_T = \sum_i \mathbb{T}_i \quad (4.1a)$$

$$L = \frac{S}{S_T} \quad (4.1b)$$

Thus, rather than scale loss with the number of total possible connections ( $n^2$ ) as with a mean squared error, we scale our loss with the number of actual connections in the true adjacency matrix, keeping the loss values somewhat higher in the early stages of training, yet still falling to levels comparable to that of MSE as the model learns to predict appropriately.

### Effects

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<sup>1</sup>Recall from 3.1.2 that the targets  $\mathbb{T}$  given to the model are the flattened generator adjacency matrix; dimensionality ( $1 \times n^2$ ).

Consider a model analyzing data from a 3-node generator with an adjacency matrix as given in Figure 4.3, and suppose that its output is a vector containing two correct values and one wrong value. Then our parameters for determining loss by way of (4.1) are as follows:

$$\begin{array}{c|ccc} & 0 & 1 & 2 \\ \hline 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 2 & 1 & 1 & 0 \end{array}$$

Figure 4.3: Example adjacency matrix

$$\begin{aligned} \mathbb{O} &= [0.0 \quad 0.0 \quad 0.0 \quad 1.0 \quad 0.0 \quad 0.0 \quad 1.0 \quad 0.0 \quad 1.0] \\ \mathbb{T} &= [0.0 \quad 0.0 \quad 0.0 \quad 1.0 \quad 0.0 \quad 0.0 \quad 1.0 \quad 1.0 \quad 0.0] \\ (\mathbb{O} - \mathbb{T})^2 &= [0.0 \quad 0.0 \quad 0.0 \quad 0.0 \quad 0.0 \quad 0.0 \quad 0.0 \quad 1.0 \quad 1.0] \\ S &= \sum_i (\mathbb{O}_i - \mathbb{T}_i)^2 = 2.0 \\ S_T &= \sum_i \mathbb{T}_i = 3.0 \end{aligned}$$

And our loss is finally determined:

$$L = \frac{S}{S_T} = \frac{2.0}{3.0} = .\bar{6}$$

Thus, our loss function ‘punishes’ the network equally for false positives and false negatives: due to the squared difference, a 1 where there should be a 0 adds the same loss as a 0 where there should be a one. This is perhaps not the ideal method; see 6.2.2. The value produced for each input/target pair is then passed to the optimizer.

### 4.2.2 Optimizer Function

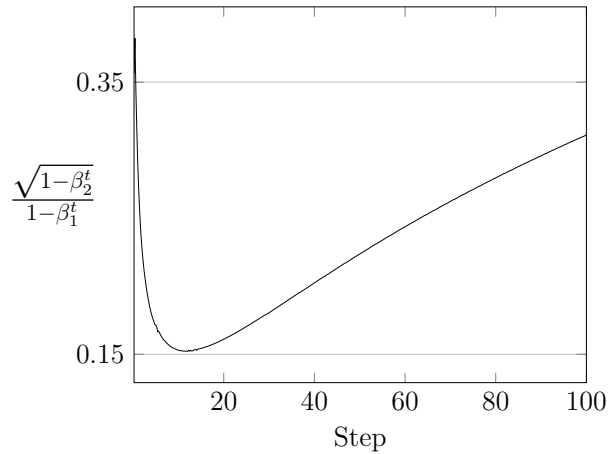
We used the Adam optimizer as provided by TensorFlow[2], providing different initial learning rates per dataset. Those values were arrived at via experimentation. After initializing the optimizer, it is passed the loss at each step and performs gradient descent on the trainable matrices.

Adam adjusts its learning rate as time goes on, according to the following equation, where  $\beta_n^t$  indicates exponentiation by  $t$  and  $lr$  denotes learning rate:

$$\beta_1 = 0.9$$

$$\beta_2 = 0.999$$

$$lr_t = lr_{init} \times \frac{\sqrt{1 - \beta_2^t}}{1 - \beta_1^t}$$



*Figure 4.4:* Adam decay function over 100 steps. Converges asymptotically to 1.

## 4.3 Matrices

### 4.3.1 Initialization

Initially, we seeded our matrices with random values from a normal distribution of standard deviation 1.0 and mean 0, using the TensorFlow implementation of `tf.random_normal(<dimensions>)`. Due, however, to the cumulative nature of our matrix operations (in the locality layer, for instance, there are three separate multiplications (3.2.3)), we found that the values of the outputs were so high or low as to render the model somewhat random in its convergence, or lack thereof.

We found that by reducing the standard deviation of our distributions to 0.25, we can ensure that most, if not all, training runs of our model converge. If raised higher, models trained on complex generator networks may consistently fail to converge, and a lower value tends to lead to convergence on non-optimal solutions, such as predicting all zeroes.

### 4.3.2 Locality Layer Operations

As discussed in 3.2.2, a different method for integrating inputs and outputs to a given edge was originally considered. If  $\mathbb{I}$  is the average input vector for some edge  $d_{ij}$ , and  $\mathbb{O}$  is the average output vector, then the original operations went as follows:

$$\mathbb{I}_{\mathbb{D}} = \mathbb{W}'_{in} \times \left( \frac{\mathbb{I}}{d'_{ij}} \right) \quad \mathbb{O}_{\mathbb{D}} = \mathbb{W}'_{out} \times \left( \frac{d'_{ij}}{\mathbb{O}} \right) \quad (4.2a)$$

$$d''_{ij} = \mathbb{I}_{\mathbb{D}} + \mathbb{O}_{\mathbb{D}} \quad (4.2b)$$

This was suboptimal for a variety of reasons. The addition of the two vectors in the final step implied that both inputs and outputs were of exactly equal value in determining the existence of an edge, and even further that, for any index into those two vectors, the values at that index would be usefully comparable in some way.

Beyond this, the integration of locality data in this format seemed to require careful tuning, and gradient descent did not work well with this setup. Specifically, at an initial network state, the first layer has not been optimized to provide useful data targeted at the second layer summations that produce  $\mathbb{I}$  and  $\mathbb{O}$ . However, the only reason for the network to trend towards this type of data shaping in the first layer would be an observed decrease in loss. While doubtless possible, it seems a more attractive (loss optimizing) option appears:

zero the left side of  $\mathbb{W}'_{in}$ , and the right of  $\mathbb{W}'_{out}$ . As the noisy locality data is removed from the system, the loss decreases, and eventually the network arrives at a somewhat remarkable state: the halves of the weight matrices that remain in use converge to the same values, operating as they are on the same data.

For these reasons, we opted for the implementation described in 3.2.2, in which we force the integration of locality data into the model's calculations via entrywise multiplication, and provide an optimizable matrix for combining input and output data, allowing the network to learn which parts are most important.

## 4.4 Hyperparameter Optimization

### 4.4.1 Batch Size

'Batching' refers to the process of assembling a set of items from the training data and passing them through the network in parallel, then optimizing over the resulting losses simultaneously. This greatly speeds computation speed by removing the costly optimization operation from each step. We found that 32 units per batch was an effective number, offering high training speeds with relatively stable loss curves.



# 5 Results

## 5.1 Overfitting

As discussed in 3.1.3 and 3.2.5, the unique structure of our model prevents it from overfitting to a particular generator topology, allowing us to create a single generator containing connections representative of the types of data we expect to analyze with the trained model. We demonstrate this aspect of our architecture in two test cases: by training models on an empty dataset paired with one adjacency matrix throughout, and training with a random dataset paired with that same adjacency matrix.

$b$ (timesteps)	8
$d$	5
Batch size	32
Training steps	20000
Learning rate	.0005
Training samples	18000
Validation samples	4500

*Figure 5.1:* Training parameters for null hypothesis networks

### 5.1.1 Empty Data

We ran a combined 100 training sessions of the benchmark model and our convolutional model, with parameters as defined in Figure 5.1, on a dataset whose inputs contained only zeroes and whose target was the adjacency matrix in Figure 5.4. For both models, exactly two losses and corresponding

outputs repeatedly occurred (Figure 5.2), with the models demonstrating a total inability to memorize the target data.

	0	1	2
0	.3	.3	.3
1	.3	.3	.3
2	.3	.3	.3

(a) loss:  $0.\bar{6}$

	0	1	2
0	0	0	0
1	0	0	0
2	0	0	0

(b) loss: 1.0

Figure 5.2: Predictions and losses when training on an empty dataset

### 5.1.2 Random Data

For this trial, all model parameters were identical to those in 5.1.1. In this case, however, the data fed into the network consisted of raster plots whose items had been randomly assigned to 0 or 1. While the results were somewhat less consistent, over the course of 100 training sessions, the models that were able to converge to a minimum loss predicted the matrix in Figure 5.3 the overwhelming majority of the time.

	0	1	2
0	0	.5	.5
1	.5	0	.5
2	.5	.5	0

Figure 5.3: Average prediction for random data. loss: 0.5

### 5.1.3 Analysis

While the results of 5.1.2 are at first confusing, given the per edge architecture of our model, this result is not particularly surprising: in the first layer transition, every spike vector is compared against every other spike vector, including itself. Thus the model was in fact able identify a set of connections  $ij$  exhibiting a particular feature: in the first layer,  $\mathbb{I}_i = \mathbb{I}_j$ . Because it could re-

liably identify these pairs, meaning the optimizer could target them, gradient descent minimized loss appropriately and adjusted the weight matrices such that, for such an  $ij$  pair,  $\mathbb{D}''_{ij} = 0$ .

For the remainder of the potential connections, the model, lacking any way to distinguish between them, found an equilibrium value that, when applied to the remaining connections, minimized loss. Note that both uniformly increasing or decreasing the nonzero weights in Figure 5.3 increases loss.

The same is true of the results in 5.1.1, with the output in Figure 5.2b particularly illustrative of the problem of entropy traps in neural networks. For models that converged to this output, the initial seeding of the weight and bias matrices was such that the fastest decreases in loss were found by adjusting trainable values to produce an empty matrix. Once there, uniformly increasing the output values would initially increase the loss, preventing the network from pushing upward and eventually reaching the lower loss state of Figure 5.2a.

## 5.2 3-neuron generator

We now consider a generator network consisting of three nodes connected as in Figure 5.4. All weights are binary, and a spike rate of .25 was used.<sup>1</sup>

Reconstructing this simplified graph allows us to demonstrate that our convolutional approach is capable of reconstruction. Furthermore, the small generator size requires few timesteps and a small interlayer featurespace; i.e.,  $b, d < 10$ . This results in a relatively simple set of transitions, allowing us to explore and understand the inner workings of the network.

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<sup>1</sup>SEE APPENDIX for information on spike rates

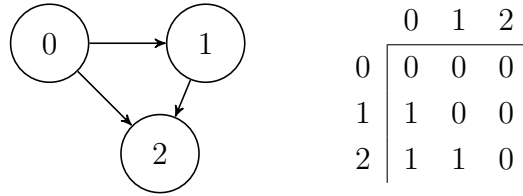


Figure 5.4: Network structure and adjacency matrix of the generator. (Reproduced from Figure 3.1)

### 5.2.1 Example Model

In order to demonstrate the internal mechanics of our model, we trained on data produced by the generator given in Figure 5.4, with parameters as given in 5.1. In this example, small values of  $b$  and  $d$  were used in order to allow for better comprehension and visualization of the internal mechanics; the practical effect of this is that relatively small matrices were available for the model to optimize, making each value adjustment more impactful on output, and thus each training step more dramatic. These are acceptable limitations, however, insofar as they provide a more comprehensible model structure.

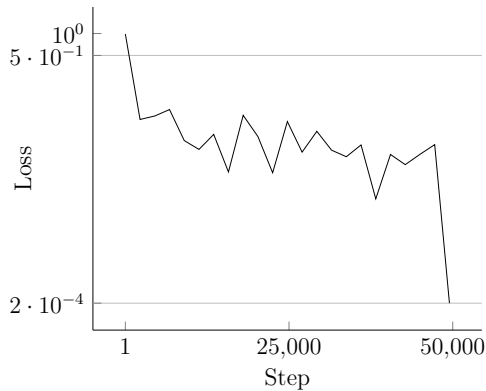
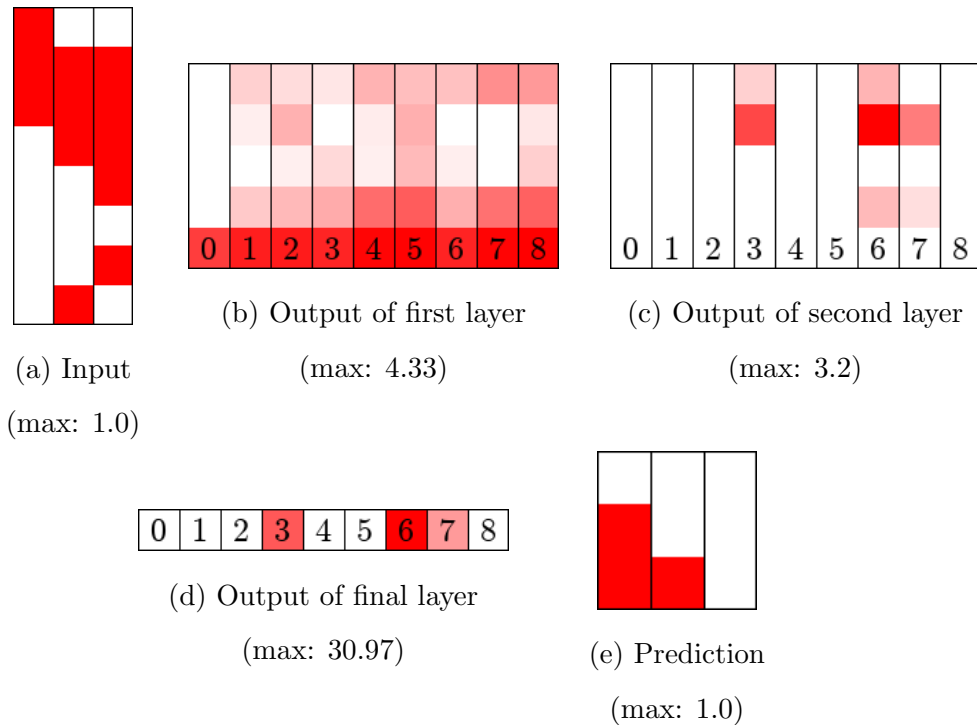


Table 5.1: Loss & parameters for model 5.2.1. The loss here is choppy, due perhaps to aggressive optimization by Adam. <sup>2</sup>

## 5.2.2 Trained Network Operation

Here, we will consider a single item of data as it travels through the model trained in 5.2.1.



*Figure 5.5:* Path of data through network. Transparency for each value is scaled relative to the maximum value found in the matrix.

In Figure 5.5, we demonstrate the progression of 5.5a through the trained model. The final layer, including activation<sup>3</sup>, produces an  $n^2$ -vector which, when reshaped into an  $(n \times n)$  matrix, is an exact match for the target, with all connections located and weighted appropriately.<sup>4</sup>

<sup>2</sup>See 4.2.2

<sup>3</sup>See 4.1.2

<sup>4</sup>While  $[1, 0]$  and  $[2, 0]$  are predicted to be exactly 1.0, the precise value of  $[2, 1]$  in the final prediction is 0.99999999957586, which we consider to be accurate enough.

## Brief Analysis

**Final Layer** The final layer consists only of multiplying its weights (Figure 5.6) by the output from the locality layer, and it is thus relatively easy to interpret what the model has learned at this stage. As the first two values of the weight matrix are strongly positive, we can conclude that the first two values in each vector in the output from the previous layer are highly important in the determination of connection presence, with some weight also placed on the fourth item.



Figure 5.6: Final weights  
(max: 7.31)

**Locality Layer Functionality** Note that, following the locality layer (5.5c), the model has located the existent connections: if we transpose 5.5c from  $(d \times n^2)$  to  $(n \times n \times d)$ , as in Figure 3.4, the columns with high values, 3, 6, and 7, correspond with  $d$ -vectors  $[1, 0]$ ,  $[2, 0]$ , and  $[2, 1]$ , respectively. These tuples each correspond with a connection present in the adjacency matrix (Figure 3.1) the model is trying to predict.

Proceeding any deeper than this, the operation of the model becomes fairly opaque.

## 5.3 Higher-order Datasets

Because a 3-node generator not does contain much in terms of locality, we created a graph structure containing slightly more complex relationships to benchmark our model on; that generator can be found in Figure 5.7.

We trained 100 model/benchmark pairs on the data produced by this gen-

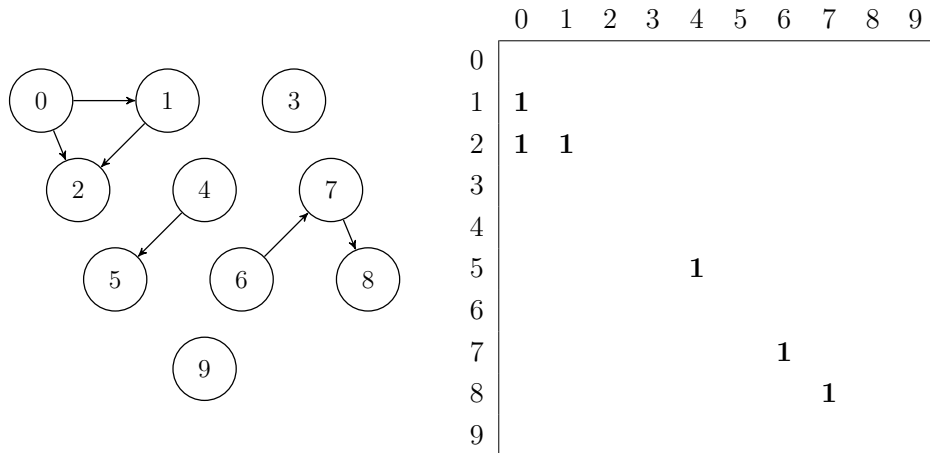


Figure 5.7: Ten neuron generator and adjacency matrix. For purposes of clarity, all zero values in the matrix have been omitted.

erator; the losses and parameters of the best-performing models of each type can be found in Figure 5.8. The results, in which the losses of both types of networks stayed extremely close, demonstrate that, while the locality-based approach is able to reconstruct networks, it does not offer substantive improvement over the much more straightforward benchmark model, at least in the cases that we have considered. An example run can be found in Figure 5.9.

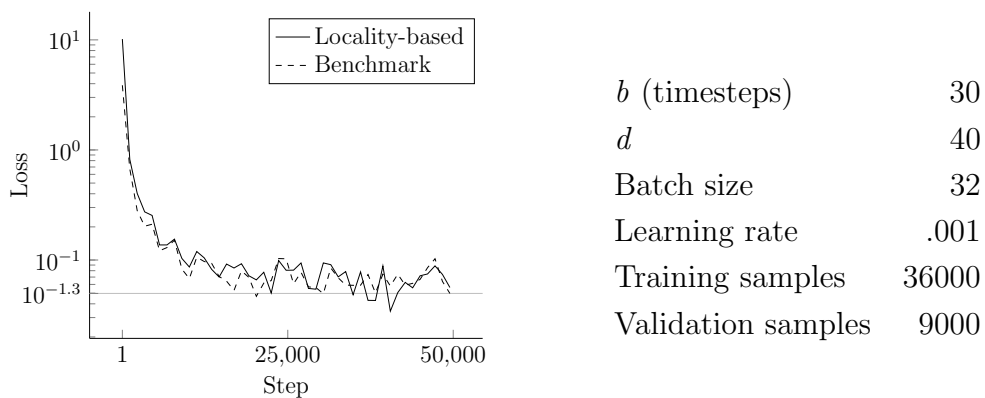
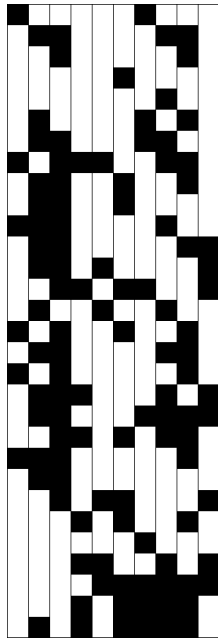
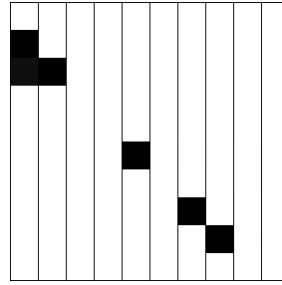


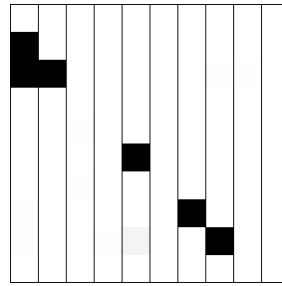
Figure 5.8: Loss & parameters for model trained on data from generator given in Figure 5.7



(a) Input



(b) Locality-based model prediction



(c) Benchmark model prediction

*Figure 5.9:* Example of data from generator defined in Figure 5.7, passed through the locality-based and benchmarks models.



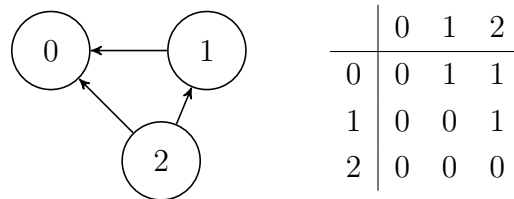
The same results were found with generators of various sizes and topologies. However, few of those sizes far exceeded the network in question here.

## 5.4 Applicability Beyond Training Data

As described in 3.1.3, the fact that our model is trained on data produced by only one generator is of little consequence; due to its structure, the only information it can learn is relational, per neuron pair. Consider the following examples, in which data was produced from several generator networks and run through the models previously described.

### 5.4.1 Model trained on 5.2

#### Inverted Network



*Figure 5.10:* Inverted version of Figure 5.4

Despite being a complete inversion of the generator used to train the model in 5.2, reconstruction of this network is simple, with the output given in Figure 5.11.

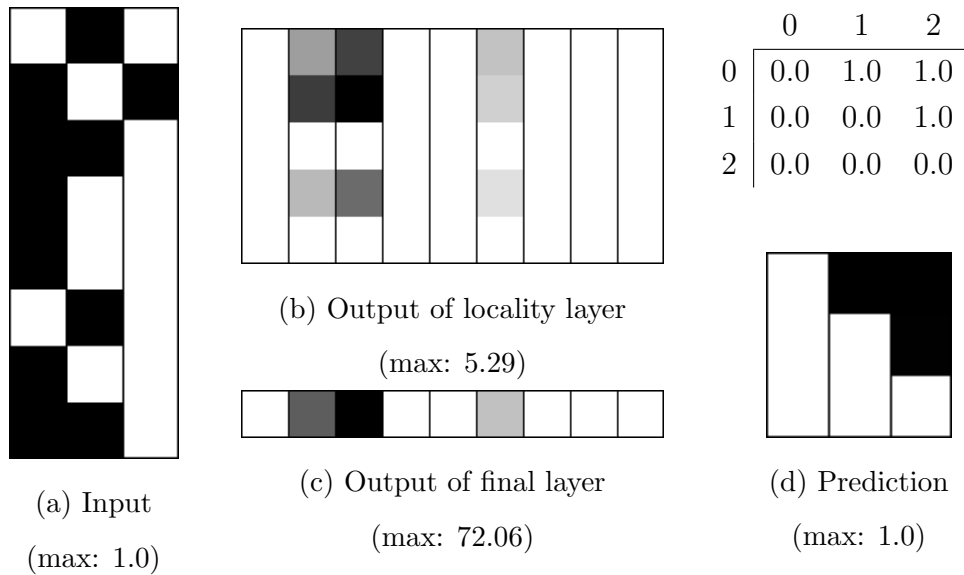


Figure 5.11: Data from Figure 5.10

## Cyclical Network

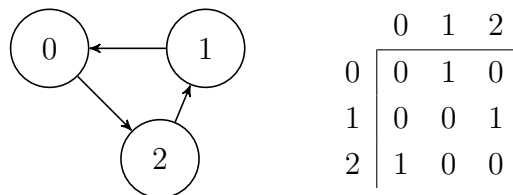


Figure 5.12: Cyclical 3-neuron network

For a cyclical network, the situation is not quite so simple. Due to the perpetual propagation of spikes through the generator, additional random spiking can cause the input data to become an impenetrable mess. Tempering the spike rate to 0.05 produces workable data, but the results are neither so clean nor consistent as for terminating networks. Figure 5.13 demonstrates a case in which the network was unable to accurately reconstruct due to the amount of spiking. Note the maximum values on the locality and final layers as com-

pared to those in Figure 5.11: although the final activation function brings everything down to the range of 1, the model seems to be several times less ‘sure’ about its reconstruction. This also occurs in Figure 5.14, although the prediction is correct. In a local feature-learning sense, the model never encountered this sort of local structure in its training. This trend continues if we send data generated by three nodes but containing only two connections: the model has never learned that unconnected nodes are a feature, and thus fails repeatedly, as in 5.15.

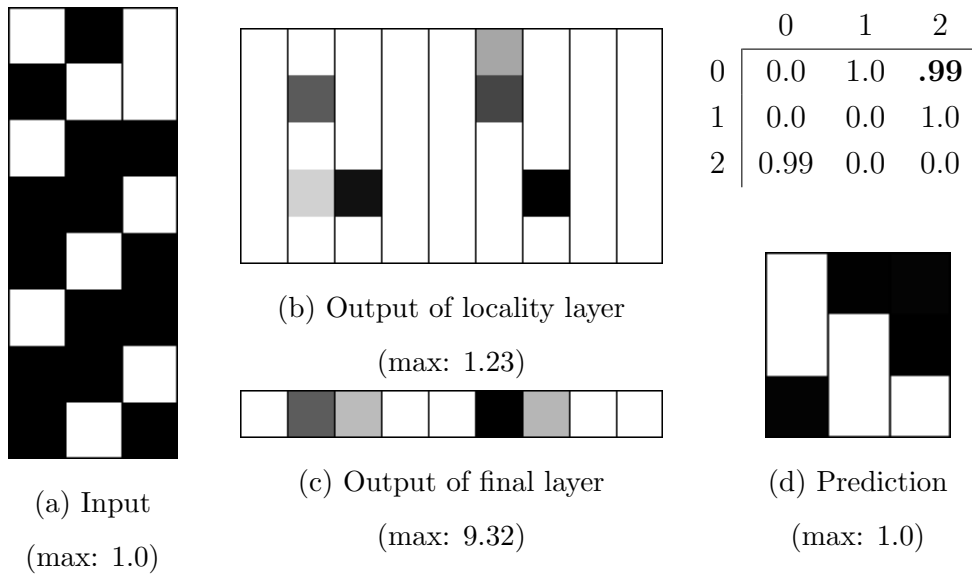


Figure 5.13: Data from Figure 5.12; spike rate too high for accurate reconstruction. Incorrect value is bolded.

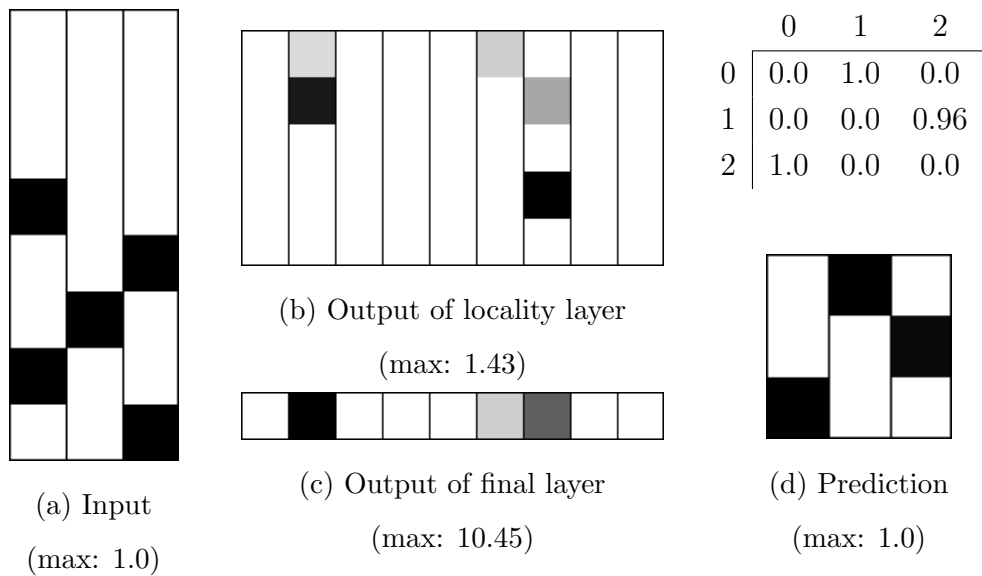


Figure 5.14: Data from Figure 5.12; spikes very sparse, enabling good reconstruction

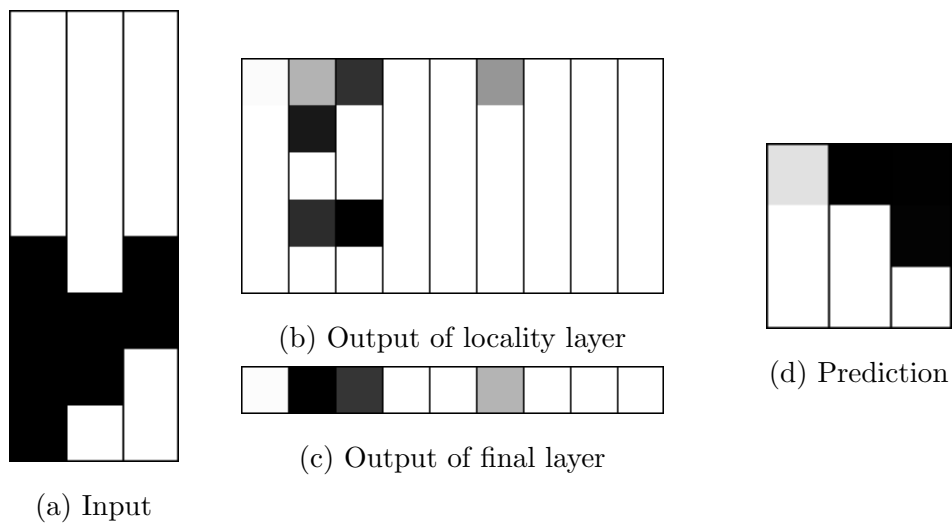


Figure 5.15: Data from generator with only two connections. Model unable to guess at a feature it has not seen before.

## 6 Discussion

As described in 5.3, in all cases tested, models equipped with our locality layer tended to stay very close to the benchmark models in loss, with a slight tendency towards higher loss. This tendency is explained by the simple presence of more values to optimize over. More to the point, we must return to the motivation behind incorporating locality into network reconstruction: we hope that our model will learn to recognize recurrent local structures in biological networks, and use that information to judge individual connection probability in the context of its neighbors. Two potential factors in our model's failure to manifest this behavior are apparent: data used, and specific locality algorithm design.

### 6.1 Data

An important part of analyzing the performance of our locality layer is to understand what we are looking for. In the cases tested, the locality-enabled model was not able to outstrip the benchmark model in terms of loss or predictive accuracy, but this likely speaks more to the type of data being used to train the networks than to the relative efficacy of either architecture. If three matrix multiplications are sufficient to reconstruct the structure of a network,

there is little need for a model to involve abstract concepts like locality, and, even if forced to do so, it's not clear that having such concepts available would contribute to more effective reconstruction. The ideal test dataset, then, would be one on which the benchmark model does not converge to an accurate prediction, allowing us to train a locality-enabled model and get some idea of how much useful information is actually added. Here, we outline some directions we could go in data generation.

### 6.1.1 Complex Neurons

As it stands, every generator we used to produce data consisted of binary connections and created binary outputs. There are clearly more accurate methods of simulating biological neural network activity, such as implementing Izhikevich neurons<sup>1</sup>, or going as far as generating data with NEST<sup>2</sup>. However, while more complex neurons would probably encourage the model to look to locality for information, this alone would not suffice.

### 6.1.2 Larger, Structured Networks

A model being able to leverage its access to locality data to locate 2-simplices will not encounter any particular benefit from this ability if the generators it is tasked with reconstructing contain at most one such structure. Indeed, preliminary results suggest that a large gap opens between models considering locality and those not when the training data is generated from a large ( $n \approx 50$ ) network seeded with recurring motifs. On such a dataset, the benchmark model cannot get below .5 loss, while the locality-enabled model hits .35 easily.

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<sup>1</sup>Cite

<sup>2</sup>citation

## 6.2 Improvements to Locality Processing

### 6.2.1 Layering

There may need to be more initial layers to provide useful data to the Locality layers. As it stands, the model structure requires that the first layer both compare the activities of neuron pairs and format the resulting data in such a manner that the locality-based layer can usefully include it in determining node existence. Adding at least one intermediary processing layer might allow the network to format the data going in to the locality layer in a more useful way. Merits further testing.

### 6.2.2 Loss

As described in 4.2.1, our custom loss function equally weights false positives and false negatives. Consider these cases:

1. Output 0.3; target 1.0: adds  $(0.3 - 1.0)^2 = 0.49$  to the loss
2. Output 0.7; target 0.0: adds  $(0.7 - 0.0)^2 = 0.49$  to the loss

Despite the equivalent loss contributions, the latter case is the less correct of the two: while guessing a weak connection where there is a strong one is not ideal, it is preferable to guessing a strong connection where there is none. Thus our loss function might be modified to more strongly disincentive false positives.

## **6.3 Potential Applications/Further Development**

In the process of creating this network, we implemented a pure-numpy version, which can run on matrices created by a model trained in TensorFlow. This would, along with the portability of our model, allow for training and then distributing ready-to-run reconstruction models, without the need for user experience with GPUs, machine learning, or any of the like.



# A Appendix

## A.1 Batched Architecture Calculations

In order to allow processing of many pieces of data at once, the matrix model defined in 3.2.3 was adapted to a batched format. Given input matrices of shape  $(b \times n)$ , the actual input to the model is now of shape  $(batchSize \times b \times n)$ . As previously discussed, iteration across lists or dimensions is not a computationally efficient option. Therefore we use `tf.einsum`, an implementation of Einstein Sums. This allows, for example, the multiplication of two matrices, one of dimension  $(i \times j \times k)$ , and the other of dimension  $(h \times j)$ . An appropriate function call might appear as `tf.einsum('hj,ijk->ihk', mat2, mat1)`. The result is equivalent to the iterative multiplication of the  $(h \times j)$  matrix across all  $i$ , without the computational overhead of CPU involvement. Every matrix multiplication in our model is implemented using this functionality.

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